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Please type a sign (+) inside this box →

PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR § 1.53(b))

Attorney Docket No.	1875.1280001
First Inventor	Gopinathan et al.
Title	Methods and Systems for DSP-Based Receivers
Express Mail Label No.	

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 202311. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)2. Applicant claims small entity status.
See 37 CFR 1.27.3. Specification [Total Pages: 50]
(preferred arrangement set forth below)
- Descriptive title of the Invention
- Cross Reference to Related Applications
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure4. Drawing(s) (35 U.S.C. 113) [Total Sheets: 29]

5. Oath or Declaration [Total Pages: 2]

- a. Newly executed (original or copy)
- b. Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR §§
1.63(d)(2) and 1.33(b).

6. Application Data Sheet. See 37 CFR 1.76.

- 7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- 8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statements verifying identity of above copies

10/085071 PTO
03/01/02

ACCOMPANYING APPLICATION PARTS

- 9. Assignment Papers (cover sheet & document(s))
- 10. 37 CFR 3.73(b) Statement Power of Attorney
(when there is an assignee)
- 11. English Translation Document (if applicable)
- 12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
- 13. Preliminary Amendment
- 14. Two (2) return receipt Postcards (MPEP 503)
(Should be specifically itemized)
- 15. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
- 16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
- 17. Other: Authorization to Treat a Reply as Incorporating an Extension of Time under 37 C.F.R. § 1.136(a)(3)
 Other: The name of the assignee is: Broadcom Incorporated

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-Part (CIP)
Prior application information: Examiner Tran, Thien of prior application No: 09/909,896
Group/Art Unit: 2662

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

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Customer No. 26111

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Attorneys at Law

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COUNTRY	TELEPHONE	FAX	

NAME (Print/Type)	Patrick E. Garrett	Registration No. (Attorney/Agent)	39,987
SIGNATURE			
	Date	3/1/02	

03/01/02
U.S. PTO

Approved for use through 10/31/2002 OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

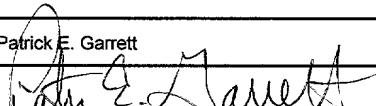
FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$2028.00)

Complete if Known	
Application Number	(to be assigned) (continuation-in part of 09/909,896)
Filing Date	(herewith)
First Named Inventor	Gopinathan et al.
Examiner Name	(to be assigned)
Group Art Unit	(to be assigned)
Attorney Docket No.	1875.1280001

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)																																																																																																																																																																																																												
<p>1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:</p> <p>Deposit Account Number 19-0036</p> <p>Deposit Account Name Sterne, Kessler, Goldstein & Fox P.L.L.C.</p> <p><input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27</p>		<p>3. ADDITIONAL FEES</p> <table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> </tr> </thead> <tbody> <tr> <td>Fee Code</td> <td>Fee (\$)</td> <td>Fee Code</td> <td>Fee (\$)</td> <td>Fee Description</td> <td>Fee paid</td> </tr> <tr> <td>105</td> <td>130</td> <td>205</td> <td>65</td> <td>Surcharge - late filing fee or oath</td> <td></td> </tr> <tr> <td>127</td> <td>50</td> <td>227</td> <td>25</td> <td>Surcharge - late provisional filing fee or cover sheet</td> <td></td> </tr> <tr> <td>139</td> <td>130</td> <td>139</td> <td>130</td> <td>Non-English specification</td> <td></td> </tr> <tr> <td>147</td> <td>2,520</td> <td>147</td> <td>2,520</td> <td>For filing a request for ex parte reexamination</td> <td></td> </tr> <tr> <td>112</td> <td>920*</td> <td>112</td> <td>920*</td> <td>Requesting publication of SIR prior to Examiner action</td> <td></td> </tr> <tr> <td>113</td> <td>1,840*</td> <td>113</td> <td>1,840*</td> <td>Requesting publication of SIR after Examiner action</td> <td></td> </tr> <tr> <td>115</td> <td>110</td> <td>215</td> <td>55</td> <td>Extension for reply within first month</td> <td></td> </tr> <tr> <td>116</td> <td>400</td> <td>216</td> <td>200</td> <td>Extension for reply within second month</td> <td></td> </tr> <tr> <td>117</td> <td>920</td> <td>217</td> <td>460</td> <td>Extension for reply within third month</td> <td></td> </tr> <tr> <td>118</td> <td>1,440</td> <td>218</td> <td>720</td> <td>Extension for reply within fourth month</td> <td></td> </tr> <tr> <td>128</td> <td>1,960</td> <td>228</td> <td>980</td> <td>Extension for reply within fifth month</td> <td></td> </tr> <tr> <td>119</td> <td>320</td> <td>219</td> <td>160</td> <td>Notice of Appeal</td> <td></td> </tr> <tr> <td>120</td> <td>320</td> <td>220</td> <td>160</td> <td>Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>121</td> <td>280</td> <td>221</td> <td>140</td> <td>Request for oral hearing</td> <td></td> </tr> <tr> <td>138</td> <td>1,510</td> <td>138</td> <td>1,510</td> <td>Petition to institute a public use proceeding</td> <td></td> </tr> <tr> <td>140</td> <td>110</td> <td>240</td> <td>55</td> <td>Petition to revive - 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<p>2. EXTRA CLAIM FEES</p> <table border="1"> <thead> <tr> <th>Extra</th> <th>Fee from below</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>Total Claims 66 - 20** = 46</td> <td>X 18</td> <td>= 828.00</td> </tr> <tr> <td>Indep. Claims 8 - 3** = 5</td> <td>X 84</td> <td>= 420.00</td> </tr> <tr> <td>Multiple Dependent</td> <td></td> <td>=</td> </tr> </tbody> </table>		Extra	Fee from below	Fee Paid	Total Claims 66 - 20** = 46	X 18	= 828.00	Indep. Claims 8 - 3** = 5	X 84	= 420.00	Multiple Dependent		=	<p>SUBTOTAL (2) (\$ 1248.00)</p>																																																																																																																																																																																																
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SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	Patrick E. Garrett	Registration No. (Attorney/Agent)	39,987	Telephone	202-371-2600
Signature				Date	3/1/02

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SKGF Rev. 10/01/01 mnac

1280001 fee transmittal



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*Admitted only in Maryland
+ Admitted only in Virginia
^ Admitted only in Texas

March 1, 2002

WRITER'S DIRECT NUMBER:
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INTERNET ADDRESS:
PGARRETT@SKGF.COM

Commissioner for Patents
Washington, D.C. 20231

Box Patent Application

Re: U.S. Non-Provisional Utility Patent Application under 37 C.F.R. § 1.53(b)
Appl. No. (to be assigned); Filed: (herewith)
(continuation-in-part of 09/909,896)
For: **Methods and Systems for DSP-Based Receivers**
Inventors: Gopinathan *et al.*
Our Ref: 1875.1280001

J1046 U.S. PTO
10/085071
03/01/02

Sir:

The following documents are forwarded herewith for appropriate action by the U.S.
Patent and Trademark Office:

1. Fee Transmittal Form (PTO/SB/17);
2. PTO Utility Patent Application Transmittal Form (PTO/SB/05);
3. U.S. Utility Patent Application entitled:

Methods and Systems for DSP-Based Receivers

and naming as inventors:

Venugopal GOPINATHAN
Oscar AGAZZI

the application consisting of:

- a. a specification containing:
 - i. 33 pages of description prior to the claims;
 - ii. 16 pages of claims (66 claims); and

Commissioner for Patents

March 1, 2002

Page 2

iii. a one (1) page abstract;

b. 29 sheets of drawings (Figures 1-2, 3A-3H, 4A-4B, 5-7, 8A-8B, 9-14, 15A-15D, and 16-18);

c. a facsimile copy of an original executed Declaration for Patent Application; and

d. an Application Data Sheet (37 C.F.R. § 1.76);

4. Authorization to Treat a Reply as Incorporating an Extension of Time under 37 C.F.R. § 1.136(a)(3);

5. Recordation Form Cover Sheet (Form PTO-1595);

6. Facsimile copy of an original executed Assignment to Broadcom, recordation of which is hereby respectfully requested;

7. Two (2) return postcards; and

8. SKGF check no. **34384** in the amount of **\$2028.00** to cover:
\$740.00 fee for filing patent application;
\$828.00 fee for total claims in excess of twenty (20);
\$420.00 fee for independent claims in excess of three (3); and
\$ 40.00 fee for recording Assignment.

It is respectfully requested that, of the two attached postcards, one be stamped with the filing date of these documents and returned to our courier, and the other, prepaid postcard, be stamped with the filing date and unofficial application number and returned as soon as possible. The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Patrick E. Garrett
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PEG:mlb
Enclosures

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Gopinathan *et al.*

Appl. No. (to be assigned)
(continuation-in-part of 09/909,896)

Filed: (herewith)

For: **Methods and Systems for DSP-Based Receivers**

Confirmation No. (to be assigned)

Art Unit: (to be assigned)

Examiner: (to be assigned)

Atty. Docket: 1875.1280001

Authorization to Treat a Reply as Incorporating an Extension of Time under 37 C.F.R. § 1.136(a)(3)

Commissioner for Patents
Washington, D.C. 20231

Sir:

The U.S. Patent and Trademark Office is hereby authorized to treat any concurrent or future reply that requires a petition for an extension of time under this paragraph for its timely submission, as incorporating a petition for extension of time for the appropriate length of time. The U.S. Patent and Trademark Office is hereby authorized to charge all required extension of time fees to our Deposit Account No. 19-0036, if such fees are not otherwise provided for in such reply.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



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